



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Robert FARNAN

Serial No.: 10749,658

Filed: December 31, 2003

Art Unit: 3763

Examiner:

For: Drug Delivery Balloon Catheter

) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 6/17/60

Surer son

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. As each of the cited documents is a U.S. patent, a copy of the references is not submitted herewith. If the Examiner needs a copy, it is requested that the Examiner contact the undersigned.

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

These references were cited in a PCT search report (enclosed) in a related application within the last three months (i.e. on June 9, 2004). Further, a first office action or notice of allowance has not issued in this application. Therefore, no fee is believed necessary for this IDS.

Please charge our Deposit Account No. 50/1039 for any fee due for this IDS.

Respectfully submitted,

Mark J. Murzhy

Registration No.: 34,225

COOK, ALEX, McFARRON, MANZO, CUMMINGS & MEHLER, LTD. 200 West Adams Street; Suite 2850 Chicago, Illinois 60606 (312) 236-8500 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on ne.17.87004 PTO/SB/08A (08-03) Stannon Wollace Approved for use through 07/31/2006. OMB 0651-0031 un walle U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/749,658 Filing Date December 31, 2003 INFORMATION DISCLOSURE First Named Inventor Robert Farnan STATEMENT BY APPLICANT Art Unit 3763 (Use as many sheets as necessary) **Examiner Name**

1

Sheet 1

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (fkno wn)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages,C olumns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 5,286,254	02-15-1994	Shapland et al.	
	2	^{US-} 5,318,531	06-07-1994	Leone	
	3	^{US-} 5,681,281	10-28-1997	Vigil et al.	
	4	^{US-} 5,536,250	07-16-1996	Klein et al.	
	5	^{US-} 5,876,426	03-02-1999	Kume et al.	
	6	^{US-} 5,985,307	11-16-1999	Hanson et al.	
	7	^{US-} 6,364,856	04-02-2002	Ding et al.	
	8	^{US-} 6,544,221	04-08-2003	Kokish et al.	
		US-			
		US-			
	1	US-			
		US-			
,		US-			
		US			
		US-			•
		US-			

Attorney Docket Number 0341-0054.01

			IGN PATENT DOCU		T	_
Examiner Initials*	Cite No.1	Foreign PatentD ocument	Publication Date	Name of Patentee or Applicant of Cited Document	Pages,C olumns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
						누
						┢
						t
. 	_	·				
						m

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹F or Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [®]Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (02-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons an	e required to respond to a collection	of information unless it contains a valid OMB control number. Complete if Known
Substitute for form 1449/PTO	Application Number	10/749,658
INFORMATION DISCLOSURE	Filing Date	December 31, 2003
STATEMENT BY APPLICANT	First Named Inventor	Robert Farnan
STATEMENT BY 7.1. L. S. S.	Art Unit	3763
(Use as many sheets as necessary)	Examiner Name	
Shoot 2	Attorney Docket Number	0341-0054.01
Sheet 2 of 2		

	Cito	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when appropriate), title of the article (when appropriate) in the article (when approp	T ²
xaminer nitials*	Cite No. ¹	the item (book, magazine, journal, some, of the item).	
	 	number(s), publisher, city and/or country where published. International Search Report on International application No. PCT/US03/41823; dated	
	9	June 9, 2004.	
	-		
			-
	1		
	-		
			+-

		Date	
Examiner		Considered	
Signature	it and whether or not citation is in conformance with MPEP 60	9. Draw line through o	itation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO This collection of information is required by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete to process) an application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete displayed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete displayed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering preparing application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering preparing application form to the USPTO. Time will vary depending upon the individual case.